

**DEALING MEMBER FIRMS WITH INADEQUATE SHAREHOLDERS
FUND (2009 AUDITED ACCOUNTS)**

- 1 Adamawa Securities Limited
- 2 Afrinvest West Africa Limited
- 3 Alangrange Securities Limited
- 4 Associated Asset Managers Limited
- 5 Belfry Investments And Securities Limited
- 6 Calyx Investment & Securities Limited
- 7 Cardington Securities Limited
- 8 Cashville Investment & Securities Limited
- 9 Century Securities Limited
- 10 Colvia Securities Limited
- 11 Consolidated Investment Limited
- 12 Dakal Services Limited
- 13 Dependable Securities Limited
- 14 Diamond Securities Limited
- 15 Empire Securities Limited
- 16 Enterprise Stockbrokers Plc.
- 17 ESS Investments And Trust Limited
- 18 Eurocomm Securities Limited
- 19 Express Discount Asset Management Limited
- 20 FBC Trust And Securities Limited
- 21 First Inland Securities & Asset Mgt Limited
- 22 First Allstate Securities Limited
- 23 First Equity Securities Limited
- 24 FIS Securities Limited
- 25 Genesis Securities & Investment Limited
- 26 Heartbeat Investment Limited
- 27 Hedge Securities & Investment Co. Limited
- 29 Independent Securities Limited
- 29 Lion Stockbrokers Limited
- 30 MBL Financial Services Limited
- 31 Midland Capital Markets Limited
- 32 Molten Trust Limited
- 33 Nigerian Stockbrokers Limited
- 34 Perfecta Investment Trust Limited
- 35 PIPC Securities Limited
- 36 Pivot Trust & Investment Company Ltd.
- 37 Professional Stockbrokers Limited

- 38 Quantum Securities Limited
- 39 Rostrum Investment & Securities Limited
- 40 Securities Solutions Limited
- 41 Securities Trading & Investment Limited
- 42 Sigma Securities Limited
- 43 Sikon Securities & Investment Limited
- 44 Surport Services Limited
- 45 Supra Commercial Trust Limited
- 46 TFS Securities & Investment Co. Limited
- 47 UBA Stockbrokers Limited
- 48 Vision Trust & Investment Limited
- 49 Wizetrade Cap & Asset Mgt Ltd
- 50 Yobe Investment & Securities Limited

**DEALING MEMBER FIRMS WITH INADEQUATE SHAREHOLDERS FUND (2010
AUDITED ACCOUNTS)**

1. Capital Bancorp Limited
2. Profund Securities Limited
3. Santrust Securities Limited
4. Vetiva Securities Limited